



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

Sun, et al.

FOR

FLOATING CHIP PHOTONIC DEVICE AND

METHOD OF MANUFACTURE

SERIAL NO.

Unknown

FILED

Herewith

ATTORNEY DOCKET NO.

RD27695

GLO 2 0029

jc678 U.S. PT 09/537192

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D. C. 20231

Box Patent Application

Dear Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, 1.98 and MPEP § 609, applicants submit the following Disclosure Statement concerning art of which the applicants are aware. A copy of PTO-1449 is enclosed herewith.

Under Rule 98(a)(3), no concise explanation of relevance is required for information that is in the English language. Accordingly, the enclosed patents require no further explanation.

"Express Mail" Mailing Label Number EL530412817US

Date of Deposit: 3-29-00

I hereby certify that this Transmittal and all papers indicated as being attached thereto are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner For Patents, Box Patent Application, Washington, D.C. 20231

Chuck Dunbar

The following patents are enclosed:

<u>U.S. Patent No.</u> 3,676,668

Inventor(s)
Collins, et al.

Under Rule 97(b)(1), this information disclosure statement is being filed within three months of the filing date of the application. Therefore, no fee is necessary.

Respectfully submitted,

FAY, SHARPE, FAGAN, MINNICH & McKEE, LLP

3/29/00

Scott A. McCollister

Reg. No. 33,961

W. Scott Harders

Reg. No. 42,629

1100 Superior Avenue, 7th Floor

Cleveland, Ohio 4411-2518

(216) 861-5582

Page 1 of 1

Subst. Form PTO-1449 APPLICANTS' INFORMATION DISCLOSURE STATEMENT			Atty. Dock	Atty. Docket No.: RD27695 GLO 2 0029 Serial No.: Unknown Applicants: Sun, et al.				
			Applicants					
			Filing Dat	Filing Date: Herewith G			Group: Unknown	
U.S. PATENT DOCUMENTS								
Initial *		Document No.	Date	Name	Class	Subcl.	Filing Date	
	AA	3,676,668	7/11/72	Collins, et al.			12/29/69	
	AB		-					
-	AC						o =	
	AD						92 1	
	AE						3.73	
	AF						86	
	AG						j.c6	
	AH							
	AI							
	AJ							
	AK							
	· 1	<u> </u>	FOREIGN P	ATENT DOCUMENTS	T			
		Document No.	Date	Country	Class	Subcl	Translat ion	
	AL							
<u> </u>	AM			·				
	AN							
	AO							
	AP							
OTHER ART								
	AR		-					
	AS							
	AT							
Examiner:					Date Considered:			
confo consi	Laerec	ce with MPEP 609	; Draw line	dered, whether or not through citation if i m with next communica	n confor	mance and	l not	